

Sample template – information needed to complete an individualised risk assessment when specifying individualised restrictive interventions

The risk assessment for each individual must be informed as a minimum by the information set out in the template below.

It is the commissioning organisation's responsibility to provide this information. It is recognised that some commissioning organisations will need support to complete this process so this document may be useful to help them understand the information they need to provide.

Any individualised risk assessments used when specifying individualised restrictive interventions should be authorised by both the named person in the commissioning organisation and the named person in the training provider organisation who must provide a written rationale for each restrictive intervention being taught. It is expected that the training provider will use an evidence based risk assessment format.

If the commissioning organisation presents the information below in other documents it may be useful to use this as a checklist to ensure all areas are fully covered in the risk assessment.

This assessment must include anything the person themselves or their advocate wishes to include in each of the categories.

Information needed to complete an individualised risk assessment for:

(Name)

Author

Date

This assessment must include anything the person themselves wishes to include

About the person (please include things that are important to know about this person)
Age, gender, cultural heritage, family background, strengths, likes, dislikes, diagnosis

Risk factors

Any known physical characteristics or health problems that may elevate the risk of harm to the person if a restrictive intervention is used

Any known sensory processing issues that may elevate the risk of harm to the person if a restrictive intervention is used

Any known developmental issues that may elevate the risk of harm to the person if a restrictive intervention is used

Any known emotional or psychological characteristics or current and potential issues and problems that may elevate the risk of harm to the person if a restrictive intervention is used. This should include, if known, reference to any past trauma

About the behaviour of concern

Any accurate description of the behaviour of concern.

Based on data that has been collected:

- Frequency
- Duration
- Severity (impact)
- Most likely locations that the behaviour of concern occurs in

Summary of the risks posed to self and others by the behaviour of concern

Current responses to the behaviour of concern

Are there any advanced directives or protocols agreed with the person or their family?

Please include date of last review of these

Please summarise or attach to risk assessment documentation. These may already be set out in advanced directives or protocols

Any successful primary strategies that are used to prevent the behaviour of concern from arising in the first place

Any successful secondary interventions that are currently used to prevent the behaviour from escalating if there are warning signs that the behaviour of concern may occur

Any successful non-restrictive tertiary interventions that are currently used when the actual behaviour of concern is occurring that help to bring resolution and a return to safety

Any restrictive tertiary interventions that are currently used when the actual behaviour of concern is occurring that help to bring resolution and a return to safety

How often are these used?

Restrictive intervention reduction plan currently in place and when last reviewed

How has the person been consulted with and contributed to this assessment?

Are there any other restrictive interventions not named above that are now being considered for use? There will need to be a written rationale for each one completed by the training provider

Has the person consented to the interventions being considered for use?

Yes

No